Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.	PARCR 65989
First Inventor	Lilip Lau
Title	Expandable Cardiac Harness for Treating Heart Failur
Express Mail Label	EV 130089993 US

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Mail Stop Patent Application **APPLICATION ELEMENTS** Commissioner for Patents ADDRESS TO: P. O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or 7. (Submit an original, and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) Computer Readable Form (CRF) Specification [Total Pages a. (preferred arrangement set forth below) Specification Sequence Listing on: - Descriptive title of the invention CD-ROM or CD-R (2 copies); or - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention **ACCOMPANYING APPLICATION PARTS** - Brief Description of the Drawings (if filed) - Detailed Description Assignment Papers (cover sheet & document(s)) Claim(s) 37 CFR 3.73(b) Statement Power of Attorney - Abstract of the Disclosure 10. (when there is an assignee) English Translation Document (if applicable) Drawing(s) (35 U.S.C. 113) 33 Information Disclosure Copies of IDS 12. Statement (IDS)/PTO-1449 Citations 2 5. Oath or Declaration [Total Pages 13. Preliminary Amendment Newly executed (original or copy) Return Receipt Postcard (MPEP 503) 4. (Should be specifically itemized) Copy from a prior application (37 CFR 1.63(d)) Certified Copy of Priority Document(s) (for continuation/divisional with Box 18 completed) (if foreign priority is claimed) DELETION OF INVENTOR(S) Nonpublication Request under 35 U.S.C. 122 6. (b)(2)(B)(i). Applicant must attach form PTO/SB/35 Signed statement attached deleting inventor(s) or its equivalent. named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). Other: Application Data Sheet. See 37 CFR 1.76 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: ✓ Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Group / Art Unit: 3736 Examiner For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS XCustomer Number: Correspondence address below 24201 Name Address Zip Code City State Telephone Country Name (Print/Type) JOHN S. NAGY, ESQ. Registration No. (Attorney/Agent) 30,664

Date November 12, 2003 Signature This collection of information is required by 37 CFR .53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case.

Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Complete if Known

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control

EEE TOANSMITTAL

Effective 10/01/2003. Patent fees are subject to annual revision. First Named Inventor Lilip Lau	for EV 0004			_ [Application Number			er			()	
Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT \$ \$ \$457.00 Attorney Docket No. PARCR 65989	for FY 2004				Filing Date							
METHOD OF PAYMENT (sheek all that apply) Support Account:				on.	First Named Inventor Lili			ntor Lilip L	.au			
METHOD OF PAYMENT (check all that apply) SUBCACE Gredit card Mone Other None Dappoil Account O6-2425 STATE Small Entity	Applican	nt claims small entity status.	See 37 CFR 1.2	7 [Examiner Name							
METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued)					Art Unit							
Check Credit card Mone Other None Deposit				7.00	Attorney Docket No. P.				R 65989			
Deposit Account: O6-2425 10 O6-2425 O6-2425 10 O6-2425 10 O6-2425 10 O6-2425 10 O6-24	ME		FEE CALCULATION (continued)									
Deposit Account	Check	Credit card Mone O	ther None	3. Al	DDITIO	NAL F	EES					
Deposit Account Number Fee Paid Code (s) 130 205 25 Surcharge - late filing fee or oath 1052 50 2052 25 Surcharge - late filing fee or oath 1052 50 2052 25 Surcharge - late provisional filing fee or oath 1053 130 1003 130 Non - English specification 1812 2,520 1804 520 1804 1	_	.ccount:										
Deposit FULWIDER PATTON 1052 50 2052 25 Surcharge - late provisional filing fee or cover 1053 130 1053		06.040.5		Code	(\$)	Code	(\$)		•		Fee Paid	
Substitute Full Wide Patton 1053 130		06-2425						-	•			
Name 1033		EIII WINED DA'	TTON	1052	50	2052			provisiona	i illing lee or cover		
Charge fee(s) indicated below Credit any overpayments 1804 920* Requesting publication of SIR prior to Examiner action 1805 1,840* 1,840* 1		FOLVIDERTA	HON	1053	130			•				
Charge any additional feet(s) or any underpayment of fee(s) 1805 1,840* 1805 1,8	The Director is	· <u> </u>		1812	2,520	1812 2						
Charge any additional feets) or any underpayment of feets 1805 1,840* 1805 1,840* Requesting publication of SIR after Examinor action 1251 110 1251 155 Extension for reply within first month 1251 110 1252 155 Extension for reply within first month 1251 110 1252 155 Extension for reply within first month 1252 1251 1251 110 1252 1251 1255 Extension for reply within first month 1252 1251 1251 1251 1251 1251 1251 12	Charge fee((s) indicated below Credit ar	ny overpayments	1804	920*	1804						
Charge leads) indicated below. except for the filing fee 1251 110 2251 55 Extension for reply within first month 1251 110 1252 220 Extension for reply within third month 1253 350 2253 475 Extension for reply within first month 1253 350 2253 475 Extension for reply within first month 1254 1480 2254 740 Extension for reply within first month 1254 1480 2254 740 Extension for reply within first month 1255 1001 770 2001 385 Utility filing fee 385,00 1401 330 2401 165 Notice of Appeal 1402 330 2402 165 Filing a brief in support of an appeal 1403 220 2403 145 Request for oral hearing 1451 1,510 1451	Charge any	additonal fee(s) or any underpaymen	nt of fee(s)	1805	1,840*	1805 1,			lication of S	SIR after Examiner		
Test Calculation 1252 420 2252 210 Extension for reply within second month 1252 420 2252 210 Extension for reply within second month 1253 350 2253 475 Extension for reply within fourth month 1254 1,480 2254 740 Extension for reply within fourth month 1254 1,480 2255 740 Extension for reply within fourth month 1254 1,480 2255 740 Extension for reply within fourth month 1255 2,010 2255 1,005 Extension for reply within fourth month 1255 2,010 2255 1,005 Extension for reply within fourth month 1255 2,010 2255 1,005 Extension for reply within fourth month 1255 1,005 Extension for reply within furth month 1254 1,480 2254 740 Extension for reply within furth month 1254 1,480 2255 1,005 Extension for reply within furth month 1254 1,480 2255 1,005 Extension for reply within furth month 1254 1,480 2255 1,005 Extension for reply within furth month 1254 1,480 2255 1,005 Extension for reply within furth month 1254 1,480 2254 740 Extension for reply within furth month 1254 1,480 2254 740 Extension for reply within furth month 1254 1,480 2254 740 Extension for reply within furth month 1254 1,480 2254 740 Extension for reply within furth month 1254 1,480 2254 740 Extension for reply within furth month 1254 1,480 2254 740 Extension for reply within furth month 1254 1,480 2254 740 Extension for reply within furth month 1254 1,480 2254 740 Extension for reply within furth month 1254 1,480 2254 740 Extension for reply within furth month 1254 1440 1450			ing fee	1251	110	2251			ply within fi	rst month		
1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee	o nie anove-ide						210	Extension for re	ply within s	econd month		
Large Enlity Small Enlity Fee	1 04810			1253	950	2253	475 E	Extension for re	ply within th	nird month		
Fee				1254	1,480	2254	740 E	Extension for re	ply within fo	ourth month		
1001 770 2001 385 Utility filing fee 385.00 1401 330 2401 165 Notice of Appeal 1402 330 2402 155 Filing a brief in support of an appeal 1403 330 2402 155 Filing a brief in support of an appeal 1403 330 2402 155 Filing a brief in support of an appeal 1403 330 2402 155 Filing a brief in support of an appeal 1403 330 2402 155 Filing a brief in support of an appeal 1403 290 2403 145 Request for oral hearing 1451 1,510 Petition to institute a public use proceeding 1451 1,510 2452 55 Petition to revive - unavoidable 1452 110 2452 55 Petition to revive - unavoidable 1452 110 2452 55 Petition to revive - unavoidable 1501 1,330 2501 665 Utility issue fee (or reissue) 1502 480 2502 240 Design issue fee 1503 640 2502 240 Design issue fee 1503 640 2503 320 Plant issue fee 1503 1807 50 Processing fee under 37 CFR § 1.17(q) 1806 1807 1807 50 Processing fee under 37 CFR § 1.17(q) 1806 1807 1807 50 Processing fee under 37 CFR § 1.17(q) 1806 1807 1807 50 Processing fee under 37 CFR § 1.17(q) 1806 1807 1807 50 Processing fee under 37 CFR § 1.17(q) 1806 1807 1807 50 Processing fee under 37 CFR § 1.17(q) 1806 1807 1807 50 Processing fee under 37 CFR § 1.17(q) 1806 1807 1807 50 Processing fee under 37 CFR § 1.17(q) 1806 1807 1807 50 Processing fee under 37 CFR § 1.17(q) 1806 1807 1807 50 Processing fee under 37 CFR § 1.17(q) 1807 1807 50 Processing fee under 37 CFR § 1.17(q) 1807 1807 50 Processing fee under 37 CFR § 1.	Fee Fee	Fee Fee Fee Description	Fee Paid	1255	2,010	2255 1	,005	Extension for re	ply within fi	fth month		
1402 340 2002 170 Design filing fee 1403 290 2403 145 Request for oral hearing 1403 290 2403 145 Request for oral hearing 1405 1510 1451 1510 Petition to institute a public use proceeding 1452 1510 2452 55 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unavoidable 1501 1,330 2501 665 Petition to revive - unintentional 1501 1,330 2501 665 Petition to revive - unintentional 1501 1,330 2501 665 Petition to revive - unintentional 1501 1,330 2501 665 Petition to revive - unintentional 1501 1,330 2501 665 Petition to revive - unintentional 1501 1,330 2501 665 Petition to revive - unintentional 1501 1,330 2501 665 Petition to revive - unintentional 1501 1,330 2501 665 Petition to revive - unintentional 1501 1,330 2501 665 Petition to revive - unintentional 1501 1,330 2501 665 Petition to revive - unavoidable 1501 1,330 2501 665 Petition to revive - unavoidable 1501 1,330 2501 665 Petition to revive - unavoidable 1501 1,330 2501 665 Petition to revive - unavoidable 1501 1,330 2501 2501 2501 2502 240 Design issue fee 1501 2502 240 Design issue fee 1501 2502 240 Design issue fee 1501 2502 240 Design issue fee 1502 2503 320 Plant issue fee 1503 2501 2502 2501 2502 2502 Plant issue fee 1503 2501 2502 2502 2502 Plant issue fee 1503 2501 2502 2502 2502 Plant issue fee 1503 2501 2502 2502 Plant issue fee 1503 2501 2502 2502 2502 2502		, ,		1401	330	2401	165	Notice of Appea	al			
1403 290 2403 145 Request for oral hearing		, ,		1402	330	2402	165 F	Filing a brief in s	support of a	n appeal		
1005 160 2005 80 Provisional filing fee	1003 530			1403	290	2403	145 l	Request for oral	I hearing			
SUBTOTAL (1) \$\\$ \\$ \\$ \\$ \\$ \\$ \\$ \\$ \\$ \\$ \\$ \\$ \\$	1004 770	2004 385 Reissue filing		1451	1,510	1451 1	,510	Petition to institu	ute a public	use proceeding		
2. EXTRA CLAIM FEES FOR UTILITY AND 1501 1,330 2501 665 Utility issue fee	1005 160	2005 80 Provisional filing f	ee	1452	110	2452	55	Petition to revive	e - unavoida	able		
2. EXTRA CLAIM FEES FOR UTILITY AND Extra Claims Below Fee Paid below Fee Paid Independent 3 - 3** =		SUBTOTAL (1)	(\$) \$385.00	1453	1,330	2453						
Extra Claims below Fee Paid 1503 640 2503 320 Plant issue fee	2. EXTRA	CLAIM FEES FOR UTILITY	Y AND			1		•		1		
Total Claims		Fed	e from					•	е			
Independent Sample Submission of Information Disclosure	Total Claims								Camminala			
Multiple Dependent											<u></u> ,	
Statement Stat		ndent	=					J		• • • • • • • • • • • • • • • • • • • •		
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claims, if not paid 1204 86 2204 43 "Reissue independent claims over original patent over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) \$72.00 SUBMITTED BY Reduced by Basic Filing Fee Paid Recutiting eastly facetin patent property (times number of properties) 1802 40 Recutiting eastly facetin patent property (times number of properties) 1803 770 2809 385 Filing a submission after final rejection (37 CFR § 1.129(a)) 1810 770 2810 385 For each additional invention to be examined (37 CFR § 1.129(b)) 1801 770 2801 385 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination of a design application Other fee (specify) *Reduced by Basic Filing Fee Paid *Reduced by Basic Filing Fee Paid *Reduced by Basic Filing Fee Paid *Registration No. (Attorney/Agant) *Attorney/Agant) *Registration No. (Attorney/Agant) 30,664 Telephon (310) 824-5555			ntion			1000		Statement				
1202 18 2202 9 Claims in excess of 20 1809 770 2809 385 Filing a submission after final rejection (37 CFR § 1.129(a)) 1810 770 2810 385 For each additional invention to be examined (37 CFR § 1.129(b)) 1810 770 2801 385 For each additional invention to be examined (37 CFR § 1.129(b)) 1802 900 1802 900 1802 900 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination of a design application 1801 770 1802 900 1802 900 Request for expedited examination of a design application 1801 770 1802 900		Code (\$)		8021	40	8021	40 8	Recording each property (times	patent ass	ignment per properties)		
1203 290 2203 145 Multiple dependent claims in excess of 3 1204 86 2204 43 "Reissue independent claims over original patent over origin	1202 18			1809	770	2809	385 F	Filing a submiss	sion after fin	•		
1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 "Reissue independent claims over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) \$72.00 "Tor number previously paid, if greater; For Reissues, see above SUBMITTED BY Complete (if applicable) Registration No. (Attorney/Agant) Registration No. (Attorney/Agant) Registration No. (Attorney/Agant) (37 CFR § 1.129(b)) (38 Cequest for Continued Examination (RCE) (38 Cequest for Continued Examination of a design application (38 CFR § 1.129(b) (48 Cequest for Continued Examination of a design application (48 Cequest for Continued Examination (RCE) (48 Cequest for Continued Examination (RCE) (48 Cequest for Continued Examination (RCE) (48 Cequest for Continued Examination of a design application (48 Cequest for Examination (RCE) (58 Cequest for Continued Examination of a design application (58 Cequest for Examination (RCE) (59 Cequest for Examination (RCE) (69 Cequest for Examination (RCE) (70 Cequest for Examination (RCE) (70 Cequest for Examination (R				1810	770	2810				on to be examined		
over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) \$72.00 "or number previously paid, if greater; For Reissues, see above SUBMITTED BY Registration No. (Attorney/Agent) Registration No. (Attorney/Agent) Request for expedited examination of a design application Other fee (specify) *Reduced by Basic Filing Fee Paid Complete (if applicable) Registration No. (Attorney/Agent) 30,664 Telephon (310) 824-5555		·					((37 CFR § 1.1)	29(b))			
1205 18 2205 9 "Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) \$72.00 The fee (specify) SUBMITTED BY Name JOHN S. NAGY, ESQ. 1802 900 1802 900 Request for expedited examination of a design application Other fee (specify) Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) Complete (if applicable) Registration No. (Attorney/Agent) 30,664 Telephon (310) 824-5555	1204 86						•	•		, ,		
SUBTOTAL (2) (\$) \$72.00 The rest (specify) The rest (specify) The rest (specify) Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) SUBMITTED BY Registration No. (Attorney/Agent) 30,664 Telephon (310) 824-5555	1205 18	2205 9 ** Reissue claims	in excess of 20	1802	900	1802				milation		
**Or number previously paid, if greater: For Reissues, see above Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$\big(\xi\) \$\sumset \text{SUBMITTED BY} \text{Complete (if applicable)} \text{ *Registration No. (Attorney/Agent)} 30,664 Telephon (310) 824-5555	'	and over origina	Oth	Other fee (specify)								
SUBMITTED BY Name JOHN S. NAGY, ESQ. Registration No. (Attorney/Agent) (310) 824-5555		SUBTOTAL (2)										
SUBMITTED BY Name JOHN S. NAGY, ESQ. Registration No. (Attorney/Agent) Registration No. (Attorney/Agent) Registration No. (Attorney/Agent) Registration No. (Attorney/Agent)	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)											
Name JOHN S. NAGY, ESQ. Registration No. (Attorney/Agent) 30,664 Telephon (310) 824-5555												
(Alloriney/Agent)			GY, ESO				T	30.664			5555	
Signature Jun / Vory Date November 12, 2003	1 0 11					//Agent)		30,00 7				
WARMAC: Information and his form may become public Credit card information should												

not be included on this form. Provide credit card information and authorization on

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case.

Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.